APPENDIX 10
NEW ANNEX 8 (SCHEDULES OF SPECIFIC COMMITMENTS ON SERVICES)

## Annex 8 Part A: China Schedule of Specific Commitments on Services

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
I. HORIZONTAL COMM	MITMENTS <sup>1</sup>		
ALL SECTORS INCLUDED IN THIS SCHEDULE	<ul> <li>(1) Unbound with respect to the social services established or maintained</li> <li>(2) for a public purpose. Such services may be subject to public monopolies</li> <li>(3) or to exclusive rights granted to private operators.</li> </ul>	(3) Unbound for all the existing subsidies to domestic services suppliers in the sectors of audio-visual, aviation and medical services.	
	(3) In China, foreign invested enterprises include foreign capital enterprises (also referred to as wholly-owned enterprises) and joint venture enterprises and there are two types of joint venture enterprises: Equity joint ventures and contractual joint ventures. <sup>2</sup>		
	The proportion of foreign investment in an equity joint venture shall be no		

<sup>&</sup>lt;sup>1</sup> In this commitment, the mention of "foreign" in both horizontal and specific commitments refers to New Zealand.

<sup>&</sup>lt;sup>2</sup> The terms of the contract, concluded in accordance with China's laws, regulations and other measures, establishing a "contractual joint venture" govern matters such as the manner of operation and management of the joint venture as well as the investment or other contributions of the joint venture parties. Equity participation by all parties to the contractual joint venture is not required, but is determined pursuant to the joint venture contract. "Foreign invested enterprise" in this schedule means a foreign invested enterprise duly constituted or otherwise organized under "Foreign Investment Law of the People's Republic of China (2019)".

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	less than 25 percent of the registered capital of the joint		
	venture.		
	The establishment of branches by		
	foreign enterprises is unbound,		
	unless otherwise indicated in specific sub-sectors, as the laws and		
	regulations on branches of foreign		
	enterprises are under formulation.		
	Representative offices of foreign		
	enterprises are permitted to be		
	established in China, but they shall		
	not engage in any profit-making activities except for the		
	representative offices under CPC		
	861, 862, 863, 865 in the sectoral		
	specific commitments.		
	The land in the People's Republic of		
	China is State-owned. Use of land		
	by enterprises and individuals is		
	subject to the following maximum term limitations:		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
Subsector	(a) 70 years for residential purposes; (b) 50 years for industrial purposes; (c) 50 years for the purpose of education, science, culture, public health and physical education; (d) 40 years for commercial, tourist and recreational purposes; (e) 50 years for comprehensive utilization or other purposes.	National Ireatment	Commitments

Sector or Subsector	Limitation on	Limitation on	Additional
	Market Access	National Treatment	Commitments

I. SPECIFIC COMMITMEN	I. SPECIFIC COMMITMENTS				
1. BUSINESS SERVICES					
A. Professional Services	(1) None	(1) None	(1) In accordance with the		
			Chinese laws, regulations		
(a) Legal Services	(2) None	(2) None	and rules, foreign law firm		
(CPC 861, excluding			which have established		
Chinese law practice)			their representative offices		
	(3) Foreign law firms can provide legal	(3) All representatives shall be	in the China (Shanghai)		
	services only in the form of	resident in China no less than	Pilot Free Trade Zone		
	representative offices.	six months each year. The	("FTZ") may enter into		
		representative office shall not	contract with Chinese law		
	Representative offices can engage in	employ Chinese national	firms in the FTZ. Based or		
	profit-making activities.	registered lawyers.	such contract, these		
			foreign and Chinese law		
	Business scope of foreign		firms may dispatch their		
	representative offices is only as follows:		lawyers to each other to		
			act as legal counsels.		
	(a) to provide clients with				
	consultancy on the legislation of		For the aforementioned		
	the country/region where the		dispatchment, it means the		
	lawyers of the law firm are		Chinese law firms may		
	permitted to engage in lawyer's		dispatch their lawyers to		
	professional work, and on		the foreign law firms to act		
	international conventions and		as legal counsels on		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	practices;		Chinese law and
			international law practice,
	(b) to handle, when entrusted by		and the foreign law firms
	clients or Chinese law firms,		may dispatch their lawyers
	legal affairs of the country/region		to the Chinese law firms to
	where the lawyers of the law firm		act as legal counsels on
	are permitted to engage in		foreign law and
	lawyer's professional work;		international practice. The
			two sides shall perform the
	(c) to entrust, on behalf of foreign		cooperation within their
	clients, Chinese law firms to deal		respective scope.
	with the Chinese legal affairs;		
	(d) to enter into contract to maintain		(2) In accordance with the
	long-term entrustment relations		Chinese laws, regulations
	with Chinese law firms for legal		and rules, foreign law firms
	affairs;		which have established
	,		their representative offices
	(e) to provide information on the		in the China (Shanghai)
	impact of the Chinese legal		Free Trade Zone ("FTZ")
	environment.		are permitted to form
			commercial association
	Entrustment allows the foreign		with Chinese law firms in
	representative office to directly instruct		Shanghai FTZ. Within the
	lawyers in the entrusted Chinese law		validity of commercial
	idity 5.5 in the chiracted chimose law		randity of committeed

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	firm, as agreed between both parties.		association, the two law
	The representatives of a foreign law firm		firms from both sides
	shall be practitioner lawyers who are		respectively have
	members of the bar or law society in		independent legal status,
	New Zealand and have practiced for no		name, financial operation,
	less than two years in China. The Chief		and bear civil liabilities
	representative shall be a partner or		independently. Clients of
	equivalent (e.g. member of a law firm of		the commercial
	a limited liability corporation) of a law		association are not limited
	firm of New Zealand and have practiced		within Shanghai FTZ.
	for no less than three years outside of		Foreign lawyers in this
	China.		type of commercial
			association are not
			permitted to conduct the
			practice of Chinese law.
(b) Accounting, auditing and	(1) None	(1) None	- Foreign accounting
book-keeping services			firms are permitted to
(CPC 862)			affiliate with Chinese
,	(2) None	(2) None	firms and enter into
			contractual
			agreements with their
	(3) Partnerships or incorporated accounting	(3) None	affiliated firms in the
	firms are limited to Certified Public		other WTO Members.
	Accountants (CPAs) licensed by the		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	Chinese authorities		- Issuance of licenses to those foreigners who have passed the Chinese national CPA examination shall be accorded national treatment.
			- Applicants will be informed of results in writing no later than 30 days after their submission of their applications.
			- Accounting firms providing services in CPC 862 can engage in taxation and management consulting services. They will not be subject to requirements on form of establishment in

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
				CPC 865 and 8630.
(c)	Taxation services	(1) None	(1) None	
	(CPC 8630)	(2) None	(2) None	
		(3) Wholly foreign-owned subsidiaries are permitted	(3) None	
(d)	Architectural services	(1) None for scheme design.	(1) None	
	(CPC 8671)	Cooperation with Chinese professional organisations is required except scheme design.		
		(2) None	(2) None	
		(3) Wholly foreign-owned enterprises are permitted	(3) None.	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
(e)	Engineering services (CPC 8672)	(1) None for scheme design.  Cooperation with Chinese professional organisations is required except scheme	(1) None	
(f)	Integrated engineering services (CPC 8673)	design.  (2) None  (3) Wholly foreign-owned enterprises are permitted.	(2) None (3) None.	
(g)	Urban planning services (except general urban planning) (CPC 8674)	<ul><li>(1) None for scheme design.</li><li>Cooperation with Chinese professional organisations is required except scheme design.</li></ul>	(1) None	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		(2) None	(2) None	
		(3) Wholly foreign-owned enterprises are permitted.	(3) None.	
(h)	Medical and dental services	(1) None	(1) None	
	(CPC 9312)	(2) None	(2) None	
		(3) Foreign service suppliers are permitted to establish joint venture hospitals or clinics with Chinese partners with quantitative limitations in line with China's needs, with foreign majority ownership permitted, while the highest proportion of foreign ownership is limited.	(3) The majority of doctors and medical personnel of the joint venture hospital and clinics shall be of Chinese nationality.	
B.	Computer and Related Services	(1) None	(1) None	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	(Computer and related services do not cover the economic activity consisting	(2) None	(2) None	
	of provision of content services which require computer and related services as a means of supply)	(3) None	(3) None	
(a)	Consultancy services related to the installation of computer hardware			
	(CPC 841)			
(b)	Software implementation services	(1) None	(1) None	
	(CPC 842)	(2) None	(2) None	
(c)	Data processing services	(3) Wholly foreign-owned enterprises are permitted.	(3) None	
	(CPC 843)			

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
-	Input preparation services			
	(CPC 8431)			
-	Data processing and tabulation services	(1) None	(1) None	
	(CPC 8432)	(2) None	(2) None	
-	Time-sharing services	(0) 11	(O) N	
	(CPC 8433)	(3) None	(3) None	
D.	Real Estate Services	(1) None	(1) None	
(a)	Real estate services involving own or leased property	(2) None	(2) None	
	(CPC 821)	(3) Wholly foreign-owned enterprises are allowed.	(3) None	
(b)	Real estate services on a fee or contract basis	(1) None	(1) None	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	(CPC 822)	(2) None	(2) None	
		(3) Wholly foreign-owned enterprises are allowed	(3) None	
F.	Other Business Services	(1) None	(1) None	
(a)	Advertising Services	(2) None	(2) None	
	(CPC 871)	(3) None	(3) None	
(b)	Market research services	(1) Unbound	(1) Unbound	
	(CPC 86401, only limited to investigation services designed to secure information on the prospects	(2) Unbound	(2) Unbound	
	and performance of an organization's products in the market)	(3) Only in the form of joint ventures, with foreign majority ownership permitted. Economic needs tests are required.	(3) Unbound	
(c)	Management consulting services	(1) None	(1) None	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	(CPC 865)	(2) None	(2) None	
		(3) Wholly foreign-owned subsidiaries are permitted	(3) None	
(d)	Services related to management consulting (only limited to the following	(1) None	(1) Unbound	
	sub-sector)	(2) None	(2) Unbound	
-	Project management services other than construction	(3) Only in the form of joint ventures, with foreign majority ownership permitted. Economic needs tests are required.	(3) Unbound	
	(CPC 86601)			
(e)	Technical testing and analysis services	(1) None	(1) None	
	(CPC 8676)	(2) None	(2) None	
		(3) Foreign services suppliers which have	(3) None	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		been engaged in inspection services in their home countries for more than three years are permitted to establish joint venture technical testing, analysis and freight inspection companies with no less than US\$ 350,000 in registered capital.  Wholly foreign-owned subsidiaries are permitted.		
(f)	Services incidental to forestry	(1) None	(1) None	
	(CPC 8814)	(2) None	(2) None	
		(3) Only in the form of joint ventures, with foreign majority ownership permitted.	(3) None	
(f)	Services incidental to agriculture, hunting and fishing	(1) None	(1) None	
	(CPC 881, 882)	(2) None	(2) None	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
(m)	Related scientific technical consulting services (CPC 8675)	(3) Only in the form of joint ventures, with foreign majority ownership permitted.	(3) None	
(i)	Services incidental to manufacturing	(1) Unbound	(1) Unbound	
	(CPC 884, 885, except for 88442, and excluding prohibited or restricted industries for foreign service suppliers by Chinese laws	<ul><li>(2) None</li><li>(3) Wholly foreign-owned subsidiaries are allowed.</li></ul>	(2) None	
	and regulations, and excluding services China considers relating to its national security interests.)	allowed.		
(k)	Placement and supply services of Personnel	(1) Unbound	(1) Unbound	
	(CPC 872, excluding CPC	(2) Unbound	(2) Unbound	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	87209)			
		(3) Only in the form of joint ventures, with foreign majority ownership permitted.  Economic needs tests are required.	(3) Unbound	
(h)	Services incidental to mining	(1) Unbound	(1) Unbound	
	(CPC 883, only including oil and natural gas)	(2) None	(2) None	
		(3) Only in the form of oil and gas exploitation in cooperation with Chinese partners.	(3) None	
-	Offshore oil-field services	(1) None	(1) None	
	Geological, geophysical (excluding regional gravity and magnetism prospecting services) and other scientific	(2) None	(2) None	
	prospecting services	(3) Only in the form of petroleum exploitation in cooperation with Chinese	(3) None	

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
(000 00754)			
(CPC 86751)	partners.		
Sub-surface surveying services (CPC 86752)			
Onshore oil-field services	(1) None	(1) None	
	(2) None	(2) None	
	(3) Only in the form of petroleum	(3) The foreign service supplier	
	exploitation in cooperation with China	shall furnish CNPC or	
	National Petroleum Corp. (CNPC) or	SINOPEC accurately and	
	China Petrochemical Corp. (SINOPEC)	promptly with the reports on	
	in the designated areas approved by the	the petroleum operations, and	
	Chinese government.	shall submit to CNPC or SINOPEC the data and	
	In order to carry out the petroleum	samples as well as various	
	contract, the foreign service supplier	technological, economic,	
	shall establish a branch, subsidiary or	accounting and administrative	
	representative office within the territory	reports related to petroleum	
	of the People's Republic of China and	operations.	
	go through registration formalities in	op or adionion	
	accordance with the laws. The	CNPC or SINOPEC shall have	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		domiciles of the said offices shall be determined through consultation with CNPC or SINOPEC.  The foreign service supplier shall open its bank account with a bank approved by the Chinese authorities to engage in foreign exchange business within the Chinese territory.	the ownership of all of the data records, samples, vouchers and other original information acquired during the implementation of the petroleum operations. The investment of foreign service suppliers shall be made in US dollars or other hard	
(0)	Building cleaning services	(1) Unbound*	currencies.	
	(CPC 874)	(2) None	(2) None	
		(3) Wholly foreign-owned enterprises permitted.	(3) None	
(p)	Photographic services (CPC 875)	(1) None	(1) None	

<sup>\*</sup> Unbound due to lack of technical feasibility.

<sup>\*</sup> Unbound due to lack of technical feasibility.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		(2) None	(2) None	
		(3) None	(3) None	
(q)	Packaging services	(1) None	(1) None	
	(CPC 876)			
	,	(2) None	(2) None	
		(3) None	(3) None	
(r)	Printing of packaging	(1) Unbound	(1) Unbound	
	materials, on a fee or contract basis			
		(2) Unbound	(2) Unbound	
	(only limited to the printing of packing materials)			
	, , ,	(3) Wholly foreign-owned enterprises are	(3) Unbound	
		permitted. Economic needs tests are required.		
(s)	Convention services	(1) None	(1) None	
	(CPC 87909)			

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		(0) 1	(O) N	
		(2) None	(2) None	
		(3) Only in the form of joint ventures, with foreign majority ownership permitted.	(3) None	
(t)	Translation and interpretation services	(1) None	(1) None	
	(CPC 87905)	(2) None	(2) None	
		(3) Wholly foreign-owned enterprises are permitted.	(3) None	
-	Maintenance and repair services	(1) None	(1) None	
	(CPC 63, 6112 and 6122)	(2) None	(2) None	
		(3) Wholly foreign-owned subsidiaries are permitted.	(3) None	
-	Maintenance and repair services of office machinery	(1) None	(1) None	

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
and equipment including computers (CPC 845 and 886)	(2) None	(2) None	
	(3) Wholly foreign-owned subsidiaries are permitted.	(3) None	
- Rental and leasing services (CPC 831, 832, excluding CPC 83202)	(1) None	(1) None	
J. 5 55252,	(2) None	(2) None	
	(3) Wholly foreign-owned subsidiaries are permitted. Service suppliers will have global assets of US\$ 5 million.	(3) None	

	2. COMMUNICATION SERVICES				
B.	Courier Services	(1) None	(1) None		
	(CPC 75121, except for				
	those specifically reserved	(2) None	(2) None		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
to Chinese postal authorities by the related law at the time of China's accession to WTO on December 11th 2001)		(3) None	
Basic Telecommunication Services - Paging services	(1) See mode 3 (2) None	(1) None (2) None	China undertakes the obligations contained in the Reference Paper in Annex I attached hereto
	(3) Foreign service suppliers are permitted to establish joint venture enterprises, and foreign investment in the joint ventures shall be no more than 50 percent.	(3) None	
C. Telecommunications Services <sup>3</sup>	(1) See mode 3	(1) None	China undertakes the obligations contained in

<sup>&</sup>lt;sup>3</sup> China's commitments are scheduled in accordance with the following: Notes for Scheduling Basic Telecom Services Commitments (S/GBT/W/2/REV/1) and Market Access Limitations on Spectrum Availability (S/GBT/W/3) attached hereto.

All international telecommunications services shall go through gateways established with the approval of China's telecommunications authorities, which will act as an independent regulatory authority in accordance with the principles of paragraph 5 of the Reference Paper.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	Value-added services	(2) None	(2) None	the Reference Paper in Annex I attached hereto.
	Including the following:			
(h)	Electronic mail	(3) Foreign service suppliers are permitted to establish joint venture value-added telecommunications enterprises, and	(3) None	
(i)	Voice mail	foreign investment in the joint ventures shall be no more that 50 percent.		
(j)	Online information and database retrieval	Contain to the thirty of the total and the police of the total and the police of the total and the t		
(k)	Electronic data exchange			
(I)	Enhanced/Value-added facsimile services			
	(including store and forward, store and retrieve)			
(m)	Code and protocol conversion			

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
(n) On-line information and/or data processing (including transaction processing)			
Mobile Voice and Data Services:	(1) See mode 3	(1) None	
- Analogue / Digital Cellular Services	(2) None	(2) None	
<ul> <li>Personal Communication</li> <li>Services</li> </ul>	(3) Foreign service suppliers are permitted to establish joint ventures only, and foreign investment in the joint ventures shall be no more than 49 percent.	(3) None	
- Domestic Services	(1) See mode 3	(1) None	
<ul><li>(a) Voice services</li><li>(b) Packet-switched data transmission services</li></ul>	(2) None	(2) None	
(c) Circuit-switched data transmission services	(3) Foreign service suppliers are permitted to establish joint ventures only, and foreign investment in the joint ventures shall be no more than 49 percent.	(3) None	
(f) Facsimile services	shall be no more than 49 percent.		

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
(g)	Domestic private leased circuit services			
-	International Services			
(a)	Voice services			
(b)	Packet-switched data transmission services			
(c)	Circuit-switched data transmission services			
(f)	Facsimile services			
(g)	International closed user group voice and data services (use of private leased circuit service permitted)			
D.	Audiovisual Services	(1) None	(1) None	Without prejudice to compliance with China's

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
-	Videos, including entertainment software and	(2) None	(2) None	regulations on the administration of films, China allows the
	(CPC 83202),			importation of motion
	distribution services	(3) Foreign services suppliers are permitted to establish joint ventures with Chinese	(3) None	pictures for theatrical release on a revenue-
-	Sound recording distribution			sharing basis and the
	services	audio-visual products, excluding motion		number of such imports
		pictures, without prejudice to China's right to examine the content of audio		shall be 20 on an annual basis.
		and video products (see footnote 2).		buolo.
-	Cinema Theatre Services	(1) None	(1) None	
		(2) None	(2) None	
			,	
		(3) Foreign services suppliers are permitted	(3) None	
		to construct and/or renovate cinema		
		theatres, with foreign investment no		
		more than 49 per cent.		

## 3. CONSTRUCTION AND RELATED ENGINEERING SERVICES

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
(CPC 511, 512, 513 <sup>4</sup> , 514, 515, 516, 517, 518 <sup>5</sup> )	(1) Unbound*	(1) Unbound <sup>*</sup>	The foreign construction enterprises established in the China (Shanghai) Pilot
	(2) None	(2) None	Free Trade Zone ("FTZ") may undertake the Chinese-foreign jointly
	<ul> <li>(3) Wholly foreign-owned enterprises are permitted. Wholly foreign-owned enterprises can only undertake the following four types of construction projects.</li> <li>1. Construction projects wholly financed by foreign investment and/or grants.</li> <li>2. Construction projects financed by loans of international financial institutions and awarded through international tendering according to the terms of loans.</li> </ul>	(3) None	constructed projects in Shanghai. Under such circumstances, these foreign construction enterprises will be exempted from the foreign investment ratio requirement in the projects.
	Chinese-foreign jointly constructed projects with foreign investment equal		

<sup>&</sup>lt;sup>4</sup> Including dredging services relating to infrastructure construction.

<sup>&</sup>lt;sup>5</sup> Coverage of CPC 518 is limited only to the rental and leasing services of construction and/or demolition machines with operator which are owned and used by foreign construction enterprises in their supply of services.

\* Unbound due to lack of technical feasibility.

<sup>\*</sup> Unbound due to lack of technical feasibility.

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	Market Access	National Treatment	Communents
	to or more than 50 per cent; and Chinese-foreign jointly constructed projects with foreign investment less than 50 percent but technically difficul to be implemented by Chinese construction enterprises alone.  4. Chinese invested construction projects which are difficult to be		
	implemented by Chinese construction enterprises alone can be jointly undertaken by Chinese and foreign construction enterprises and foreign construction enterprises with the approval of provincial government.		
4. DISTRIBUTION SERVICE	ES (as defined in Annex 2)		
A. Commission Agents' Services (excluding salt, tobacco)	(1) Unbound	(1) Unbound	Foreign-invested enterprises are permitted to distribute their products
	(2) None	(2) None	manufactured in China,

Wholesale Trade Services<sup>6</sup>

(excluding salt, tobacco)

including the products

listed in the market access

<sup>&</sup>lt;sup>6</sup> The restrictions on mode 1 shall not undermine the rights of WTO Members to the right to trade as stipulated in Chapter 5 of China's Protocol of accession to the WTO.

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	(3) Wholly foreign-owned enterprises permitted.	(3) None	or sector or sub-sector column, and provide subordinate services as defined in Annex 2.
			Foreign service suppliers are permitted to provide the full range of related subordinate services, including after sales services, as defined in Annex 2, for the products they distribute.
C. Retailing Services (excluding tobacco)	<ul><li>(1) Unbound except for mail order</li><li>(2) None</li></ul>	<ul><li>(1) Unbound except for mail order</li><li>(2) None</li></ul>	Foreign-invested enterprises may distribute their products manufactured in China, including those excepted products as listed in the market access or sector or
	<ul><li>(3) Wholly foreign-owned enterprises are permitted, except for:</li><li>- chain stores which sell products of</li></ul>	(3) None	sub-sector column, and provide subordinate services as defined in Annex 2.  Foreign service suppliers

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
			l
	different types and brands from multiple suppliers with more than 30 outlets. For such chains stores with more than 30 outlets, foreign majority ownership will not be permitted if those chain stores distribute any of the following products: books, newspapers, magazines, pharmaceutical products, pesticides, mulching films, processed oil, chemical fertilizers and products listed in Annex 2a of the Protocol of China's WTO Accession. The foreign chain store operators will have the freedom of choice of any partner, legally established in China		are permitted to provide full range of related subordinate services, including after sales services, as defined in Annex 2, for the products they distribute.
	according to China's laws and regulations.		
D. Franchising	(1) None	(1) None	
	(2) None	(2) None	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		(3) None	(3) None	
E.	Wholesale or retail trade services away from a fixed location.	(1) None	(1) None	
		(2) None	(2) None	
		(3) None <sup>7</sup>	(3) None	

	5. EDUCATIONAL SERVICES				
	(Excluding special education			China will list on its	
	services e.g. military, police,			Ministry of Education	
	political and party school			"Study Abroad" website	
	education)		(1) Unbound	www.jsj.edu.cn the eight	
				New Zealand universities,	
A.	Primary education services	(1) Unbound		the twenty Institutes of	
	(CPC 921, excluding		(2) None	Technology and	
	national compulsory			Polytechnics, Te Wananga	
	education in CPC 92190)	(2) None		o Aotearoa, Te Whare	
			(3) Unbound	Wananga o Awanuiarangi	

<sup>&</sup>lt;sup>7</sup> See paragraph 310 of the Working Party Report on the Accession of China to the WTO.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		War Ret Access	National freatment	Communents
B.	Secondary education services (CPC 922, excluding national compulsory education in CPC 92210)	(3) Joint schools will be established, with foreign majority ownership permitted.		and Te Wananga o Raukawa, and the following six degree conferring Private Training Establishments duly
C.	Higher education services (CPC 923)			approved and accredited by NZQA:
D.	Adult education services (CPC 924, including non-academic training of beauty treatment, spa, and acupuncture)			Auckland Institute of Studies at St Helens, International Pacific College New Zealand,
E.	Other education services (CPC 929, including non-academic training in the following areas:			New Zealand College of Chiropractic,  Pacific International Hotel Management School,
	a. English language			Te Kura Toi Whakaari o
	b. cooking			Aotearoa: New Zealand Drama School, Whitecliff
	c. handicraft			College of Arts and Design.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments			
				Both parties will jointly initiate work at the official level on evaluation of the quality assurance criteria for qualifications which include a distance delivery component.			
6. ENVIRONMENTAL SERVICES  (excluding environmental quality monitoring and pollution source inspection)							
A.	Sewage Services (CPC 9401)	(1) Unbound except for environmental consultation services	(1) None				
B.	Solid Waste Disposal Services (CPC 9402)	(2) None	(2) None				
C.	Cleaning Services of Exhaust Gases (CPC 9404)	(3) Wholly foreign-owned enterprises are permitted.	(3) None				

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
D.	Noise Abatement Services (CPC 9405)			
G.	Sanitation Services (CPC 9403)			
E.	Nature and Landscape Protection Services (CPC 9406 excluding the	(1) Unbound except for environmental consultation services.	(1) None	
	construction and operation of Natural Reserves and Ramsar Sites)	(2) None	(2) None	
F.	Other Environmental Protection Services (CPC 9409)	(3) Foreign services suppliers engaged in environmental services are permitted to provide services only in the form of joint ventures, with foreign majority ownership permitted.	(3) None	

## 7. FINANCIAL SERVICES

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
A.	All Insurance and Insurance-Related Services <sup>8</sup> (a) Life, health and pension/annuity	<ul><li>(1) Unbound except for:</li><li>(a) reinsurance;</li><li>(b) international marine, aviation, and transport insurance; and</li></ul>	(1) None	
	insurance  (b) None-life insurance  (c) Reinsurance	(c) brokerage for large scale commercial risks, international marine, aviation, and transport insurance, and reinsurance.		
	(d) Services auxiliary to insurance	(2) Unbound for brokerage. Other, none.	(2) None	
		(3) A. Form of establishment  Foreign non-life insurers are permitted to establish as a branch or as a wholly-owned subsidiary, with no form of establishment restrictions.	- Insurance institutions of New Zealand shall not engage in the statutory insurance business, except that insurance	

<sup>&</sup>lt;sup>8</sup> Any further authorization provided to foreign insurers after accession under more favorable conditions than those contained in this schedule (including the extension of grandfathered investments through branching, sub-branching or any other legal form), will be made available to other foreign service suppliers which so requested.

Sector or Subsector	Limitation on  Market Access	Limitation on National Treatment	Additional Commitments
	Foreign life insurers are permitted 50 per cent foreign ownership in a joint venture with the partner of their choice.  The joint venture partners can freely agree the terms of their engagement, provided they remain within the limits of the commitments contained in this schedule.  For brokerage for insurance of large scale commercial risks and brokerage for reinsurance and brokerage for international marine, aviation, and transport insurance and reinsurance: wholly foreign owned subsidiary is permitted.  For other brokerage services: Unbound.	institutions of New Zealand are permitted to undertake third party auto liability insurance.	

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitment
	<u>'</u>	1	
	Internal branching for an		
	insurance firm is permitted.		
	B. Business Scope		
	Foreign non-life insurers are		
	permitted to provide "master		
	policy" insurance/insurance of		
	large scale commercial risks,		
	which has no geographic restrictions. In accordance with		
	national treatment, foreign		
	insurance brokers are permitted		
	to provide "Master policy" no		
	later than Chinese brokers,		
	under conditions no less		
	favourable.		
	Foreign non-life insurers are		
	permitted to provide the full		
	range of non-life insurance		
	services to both foreign and		
	domestic clients.		
	Foreign insurers are permitted to		

Sector or Subsector	Limitation on	Limitation on	Additional
	Market Access	National Treatment	Commitments
	,	-	
	provide health insurance,		
	individual/group insurance and		
	pension/annuities insurance to		
	foreigners and Chinese.		
	Foreign insurers are permitted to		
	provide reinsurance services for		
	life and non-life insurance as a		
	branch, joint venture, or wholly		
	foreign-owned subsidiary,		
	without geographic or		
	quantitative restrictions on the		
	number of licenses issued.		
	C. Licenses		
	Licenses are issued with no		
	economic needs test or quantitative		
	limits on licenses. Qualifications for		
	establishing a foreign insurance		
	institution are as follows:		
	- the investor shall be a foreign		
	insurance company with more than		
	30 years of establishment		

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		experience in a WTO member;  it shall have a representative office for two consecutive years in China;  it shall have total assets of more than US \$5 billion at the end of the year prior to application, except for insurance brokers.	National Treatment	Commitments
		Insurance brokers shall have total assets of more than US\$ 200 million.		
B.	Banking and Other Financial Services  (excluding insurance and securities)	<ul> <li>(1) Unbound except for the following:</li> <li>Provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services;</li> </ul>	(1) None	For financial leasing services, foreign financial leasing corporations are permitted to provide financial leasing service at the same time as domestic
(a)	Banking services as listed below:  Acceptance of deposits and	- Advisory, intermediation and other auxiliary financial services on all activities listed in subparagraphs (a) through (k), including credit reference		corporations.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	other repayable funds from the public;	and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate		
(b)	Lending of all types, including consumer credit, mortgage credit, factoring and financing of commercial transaction;	restructuring and strategy.  (2) None	<ul><li>(2) None</li><li>(3) Except of prudential measures,</li></ul>	
(c)	Financial leasing	(3) A. Geographic coverage	foreign financial institution may do business, without	
(d)	All payment and money transmission services, including credit, charge and debit cards, travellers cheques and bankers drafts (including import and export settlement);	For foreign currency and local currency business, there will be no geographic restriction.  B. Clients  For foreign currency business, foreign financial institutions are permitted to	restrictions or need for case- by-case approval, with foreign invested enterprises, non- Chinese natural persons, China natural persons and Chinese enterprises. Otherwise, none.	
(e)	Guarantees and commitments;	provide services in China without restriction as to clients.		
(f)	Trading for own account or for account of customers: foreign exchange.	For local currency business, foreign financial institutions are permitted to provide services to Chinese enterprises.		

Sector or Subsector	Limitation on	Limitation on	Additional
	Market Access	National Treatment	Commitments
	Foreign financial institutions are		
	permitted to provide services to all		
	Chinese clients. Foreign financial		
	institutions licensed for local currency		
	business in one region of China may		
	service clients in any other region that		
	has been opened for such business.		
	C. Licensing		
	O. LICCHSING		
	Criteria for authorization to deal in		
	China's financial services sector are		
	solely prudential (i.e. contain no		
	economic needs test or quantitative		
	limits on licenses). Existing non-		
	prudential measures restricting		
	ownership, operation, and juridical form		
	of foreign financial institutions, including		
	on internal branching and licenses are		
	eliminated.		
	Foreign financial institutions who meet		
	the following condition are permitted to		
	establish a subsidiary of a foreign bank		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	in Ohina.		
	in China:  total assets of more than US\$ 10 billion at the end of the year prior to filing the application.		
	Foreign financial institutions who meet the following condition are permitted to establish a branch of a foreign bank in China:		
	- total assets of more than US\$ 20 billion at the end of the year prior to filing the application.		
	Foreign financial institutions who meet the following condition are permitted to establish a Chinese-foreign joint bank in China:		
	total assets of more than US\$ 10 billion - at the end of the year prior to filing the application.		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	Qualifications for foreign financial institutions to engage in local currency business are as follows:  one year business operation in China - prior to the application, otherwise, none.		
Motor vehicle financing by non-bank financial institutions	<ul> <li>(1) Unbound except for the following:         <ul> <li>Provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services;</li> <li>Advisory, intermediation and other auxiliary financial services on all activities listed in subparagraphs</li></ul></li></ul>		

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		advice on acquisitions and on corporate restructuring and strategy.		
		(2) None	(2) None	
		(3) None	(3) None	
-	Other Financial Services as listed below:	(1) None	(1) None	
(k)	Provision and transfer of financial information, and financial data processing	(2) None	(2) None	
	and related software by supplier of other financial services;	(3) None. Criteria for authorization to deal in China's financial services sector are solely prudential (i.e. contain no economic needs test or quantitative	(3) None	
(1)	Advisory, intermediation and other auxiliary financial services on all activities listed in subparagraphs (a) through (k), including credit	limits on licenses). Branches of foreign institutions are permitted.		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy.			
- Securities	<ul> <li>(1) Unbound except for the following:</li> <li>(a) Foreign securities institutions may engage directly (without Chinese intermediary) in B share business</li> <li>(b) Foreign service suppliers which meet the requirement of China's relevant laws and regulations are permitted to provide the following services to Chinese Qualified Institutional Domestic Investors (QDII):  - Trading for account of QDII</li> <li>- Providing securities trading</li> </ul>		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	advice or portfolio management;		
	- Providing custody for overseas assets of QDII.		
	(2) None	(2) None	
	(3) (a) Unbound, except for the following:	(3) None	
	<ul> <li>Representative offices in China of foreign securities institutions may become Special Members of all Chinese stock exchanges.</li> </ul>		
	- Foreign service suppliers are permitted to establish joint ventures with foreign investment up to 49 per cent to conduct domestic securities investment fund management business.		
	Foreign securities institutions are		

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	<u>'</u>		
	permitted to establish joint ventures,		
	with foreign minority ownership not		
	exceeding 49 per cent, to engage		
	(without Chinese intermediary) in		
	underwriting A shares and in		
	underwriting and trading of B and H		
	shares as well as government and		
	corporate debts, launching of funds.		
	(b) Criteria for authorization to deal		
	in China's financial industry are		
	solely prudential (i.e., contain no		
	economic needs test or		
	quantitative limits on licenses).		

	8. HEALTH RELATED AND SOCIAL SERVICES			
B.	Social Services	(1) None	(1) None	
-	Services for the aged (part of CPC 93311 and 93323)	(2) None	(2) None	
		(3) Service suppliers of New Zealand are permitted to establish wholly foreign-	(3) Unbound	

Sector	or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		owned profit-making institutions for the aged in China.		
9. TOURI	SM AND TRAVEL R	ELATED SERVICES		
-	including apartment s) and Restaurants	(1) None	(1) None	
(CPC 64	11-643)	(2) None	(2) None	
		(3) Foreign services suppliers may construct, renovate and operate hotel and restaurant establishments in China. Wholly foreign-owned subsidiaries are permitted.	(3) None	
B. Travel A Operato	agency and Tour or	(1) None	(1) None	
(CPC 74	<b>171</b> )	(2) None	(2) None	
		(3) None	(3) None except that joint ventures or wholly foreign-owned travel	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
			agencies and tour operators are not permitted to engage in the activities of Chinese travelling abroad and to Hong Kong China, Macao China and Chinese Taipei.	
	10 RECREATIONAL CULT	JRAL AND SPORTING SERVICES (other tha	n audiovisual services)	
D.	Other entertainment services	(1) Unbound	in additional services,	
	(only limited to CPC 96191, 96192)	(2) Unbound		
		(3) New Zealand services suppliers will be permitted to establish performance brokerage institutions or business entities of performance place in the form of joint ventures or contractual joint ventures with Chinese partners.		
		New Zealand investment in the joint venture shall be no more than 49 per		

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		cent.		
		The Chinese party shall have the decision-making power in the contractual joint ventures.		
		The performance brokerage institutions can engage in commercial performance in the form of intermediation, commission agency and agency.		
		The business entities of performance place can hold commercial performance in their own place.		
D.	Sporting and other recreational services	(1) None	(1) None	
	(only limited to CPC 96411, 96412, 96413, excluding golf and E-Sports)	(2) None	(2) None	
	, ,	(3) None for CPC 96411, 96412, 96413,	(3) None	

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment		Additional Commitments
			<u> </u>		
		excluding golf and E-Sports.			
		For E-Sports, wholly foreign-owned enterprises will be allowed. Economic needs tests are required.			
	11. TRANSPORT SERVICES				
A. -	Maritime Transport Services  International transport (freight and passengers)  (CPC 7211 and 7212 less	transportation): None  (b) Bulk, tramp and other international shipping (including passenger transportation): None	(1) None	the ava ma sup and	e following services at e port are made ailable to international aritime transport ppliers on reasonable d non-discriminatory ms and conditions:
	cabotage transport services)	(2) None	(2) None	1.	Pilotage
				2.	Towing and tug assistance
		(3) None.	(3) None.	3.	Provisioning, fuelling and watering
				4.	Garbage collecting and ballast waste

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
			disposal
			<ul><li>5. Port Captain's services</li><li>6. Navigation aids</li></ul>
			7. Shore-based operational services essential to ship operations, including communications, water and electrical supplies
			8. Emergency repair facilities
			9. Anchorage, berth and berthing services.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
Н.	Auxiliary Services	(1) Unbound*	(1) Unbound*	
(a)	Maritime cargo-handling services	(2) None	(2) None	
	(CPC 741)	(3) None.	(3) None	
(c)	Customs clearance services for marine transport	(1) Unbound*	(1) Unbound*	
		(2) None	(2) None	
		(3) None	(3) None	
(d)	Container station and depot services	(1) Unbound*	(1) Unbound*	

<sup>\*</sup> Unbound due to lack of technical feasibility.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
		(2) None	(2) None	
		(3) None.	(3) None	
(e)	Maritime agency services	(1) None	(1) None	
		(2) None	(2) None	
		(3) None	(3) None	
В.	Internal Waterways	(1) Only international shipping in ports open	(1) Limitations as indicated under	
	Transport	to foreign vessels shall be permitted.	market access column	
(b)	Freight transport	(2) None	(2) None	
	(CPC 7222)		(0) 11-1	
		(3) Unbound	(3) Unbound	
C.	Air Transport Services	(1) Unbound*	(1) Unbound <sup>*</sup>	

<sup>\*</sup> Unbound due to lack of technical feasibility.

<sup>\*</sup> Unbound due to lack of technical feasibility.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
(d)	Aircraft repair and maintenance services	(2) None	(2) None	
	(CPC 8868)	(3) Services suppliers of New Zealand are permitted to establish joint venture aircraft repair and maintenance	(3) None	
		enterprises in China. The Chinese side shall hold controlling shares or be in a dominant position in the joint ventures.		
-	Selling and marketing of air transport services	(1) None	(1) None	
		(2) None	(2) None	
		(3) New Zealand enterprises, which are designated to operate as per the bilateral air services agreements, can establish offices in China.	(3) None	
-	Computer Reservation System (CRS) Services	(1) (a) Foreign computer reservation systems may provide services to Chinese aviation enterprises and	(1) None	

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	aviation agents by connecting through a Chinese computer reservation system.		
	(b) Foreign Computer Reservation System may provide services to representative offices and sales offices established in the destination cities in China by foreign aviation enterprises which have the right to engage in business according to the bilateral aviation agreements.		
	(c) Direct access to and use of foreign computer reservation systems by aviation agents are subject to approval of the General Administration of Civil Aviation of China (CAAC).		
	(2) None	(2) None	
	(3) Foreign service suppliers are permitted to establish joint venture enterprises with Chinese Computer Reservation System in China. The Chinese side shall hold controlling shares or be in a	(3) None	

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	dominant position in the joint ventures. Licenses for the establishment of joint ventures are subject to economic needs test.		
Airport Operation Services <sup>9</sup>	(1) Unbound*	(1) Unbound*	
	(2) None	(2) None	
	(3) Unbound	(3) Unbound	
Ground Handling Services <sup>10</sup>	(1) Unbound <sup>*</sup>	(1) Unbound*	
	(2) None	(2) None	

<sup>&</sup>lt;sup>9</sup> As defined in Article 1.

<sup>\*</sup> Unbound due to lack of technicalfeasibility.

<sup>\*</sup> Unbound due to lack of technicalfeasibility.

10 As defined in Article 1.

<sup>\*</sup> Unbound due to lack of technical feasibility.

<sup>\*</sup> Unbound due to lack of technical feasibility.

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	(3) Service suppliers of New Zealand are permitted to establish joint venture enterprises to supply ground handling services.	(3) Unbound	
Specialty Air Services <sup>11</sup>	(1) None	(1) None	
	(2) None	(2) None	
	(3) New Zealand service providers are permitted to set up joint venture training institutions in China to provide pilot training services. The Chinese side shall hold controlling shares in the joint venture training institutions.	(3) Unbound	
	The legal representation of the joint venture training institution shall be a Chinese citizen.		

<sup>&</sup>lt;sup>11</sup> As defined in Article 1. Specialty Air Services do not include projects related to national security.

	Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
_	D. T. T. C. C. A.	(4) N	(A) No. 1	
E.	Rail Transport Services	(1) None	(1) None	
_	D 17 10 :	(2) None	(2) None	
F.	Road Transport Services			
-	Freight transportation by rail	(3) Wholly foreign-owned subsidiaries are permitted.	(3) None	
	(CPC 7112)			
-	Freight transportation by road in trucks or cars			
	(CPC 7123)			
-	Maintenance and repair services of motor vehicles (CPC 61120)	(1) None	(1) None	
	,	(2) None	(2) None	
		(3) Wholly foreign-owned subsidiaries are permitted.	(3) None	
Pas	senger Transportation	(1) Unbound	(1) Unbound	

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
- Urban and suburban regular			
transportation (CPC 71211)	(2) Unbound	(2) Unbound	
- Urban and suburban special			
transportation (CPC 71212)	(3) Only in the form of joint ventures, with foreign investment not to exceed 49 per	(3) None	
- Interurban special	cent. Economic needs tests are		
transportation (CPC71214)	required.		
Passenger Transportation	(1) Unbound	(1) Unbound	
- Interurban regular			
transportation (CPC71213)	(2) Unbound	(2) Unbound	
	(3) Only in the form of joint ventures, with	(3) None	
	foreign investment not to exceed 49 per cent. Economic needs tests are		
	required.		
H. Services Auxiliary to all	(1) Unbound	(1) Unbound	
Modes of Transport			
- Storage and warehousing	(2) None	(2) None	
services (CPC 742)	(2) (3)	(2) 110110	

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	(3) Wholly foreign-owned subsidiaries permitted.	(3) None	
<ul> <li>Freight forwarding agency services (CPC 748)</li> </ul>	(1) None	(1) None	
(=====)	(2) None	(2) None	
	(3) Foreign freight forwarding agencies which have at least three consecutive years' experience are permitted to set up freight forwarding agency joint ventures in China, with wholly foreignowned subsidiaries permitted.	(3) None	
	Operation term of the joint ventures shall not exceed 20 years.		
	After one year operating in China, the joint venture can set up branches.		
	A foreign freight forwarding agency may set up a second joint venture after its first joint venture has been in operation		

Sector or Subsector	Limitation on  Market Access	Limitation on National Treatment	Additional Commitments
	for two years.		
Freight inspection covered by CPC 749, excluding statutory inspection services	(1) None	(1) None	
for freight inspection services)	(2) None	(2) None	
	(3) Foreign services suppliers which have been engaged in inspection services in their home countries for more than three years are permitted to establish joint venture technical testing, analysis and freight inspection companies with no less than US\$ 350,000 in registered capital.  Wholly foreign-owned subsidiaries are	(3) None	
	permitted		
2. OTHER SERVICES NOT I	NCLUDED ELSEWHERE		T
Specialty design services (CPC 87907)	(1) None	(1) None	
	(2) None	(2) None	

Sector or Subsector	Limitation on Market Access	Limitation on National Treatment	Additional Commitments
	(3) None	(3) None	
- Hairdressing and other beauty services (CPC 9702)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	

### Appendix 1

### Reference Paper

## Scope

The following are definitions and principles on the regulatory framework for the basic telecommunications services.

#### **Definitions**

Users mean service consumers and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network or service that:

- (a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- (b) cannot feasibly be economically or technically substituted in order to provide a service.

A major supplier is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
- (b) use of its position in the market.
- 1. Competitive safeguards
- 1.1 Prevention of anti-competitive practices in telecommunications

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

## 1.2 Safeguards

The anti-competitive practices referred to above shall include in particular:

- (a) engaging in anti-competitive cross-subsidization;
- (b) using information obtained from competitors with anticompetitive results; and

(c) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

#### 2. Interconnection

2.1 This section applies to linking with suppliers providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier, where specific commitments are undertaken.

#### 2.2 Interconnection to be ensured

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided.

- (a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or otheraffiliates;
- (b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided: and
- (c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.
- 2.3 Public availability of the procedures for interconnection negotiations

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.4 Transparency of interconnection arrangements

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

### 2.5 Interconnection: dispute settlement

A service supplier requesting interconnection with a major supplier will have recourse, either:

- (a) at any time; or
- (b) after a reasonable period of time which has been made publicly known

to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

#### 3. Universal service

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

#### 4. Public availability of licensing criteria

Where a licence is required, the following will be made publicly available:

- (a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence; and
- (b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

## 5. Independent regulators

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of

and the procedures used by regulators shall be impartial with respect to all market participants.

## 6. Allocation and use of scarce resources

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

# WORLD TRADE

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1997

# **ORGANIZATION**

(97-0173)

## **Group on Basic Telecommunications**

#### Note by the Chairman

#### Revision

It has been suggested by a number of delegations that it might be helpful to produce a brief and simple note on assumptions applicable to the scheduling of commitments in basic telecoms. The purpose of the attached note is to assist delegations in ensuring the transparency of their commitments and to promote a better understanding of the meaning of commitments. This note is not intended to have or acquire any binding legal status.

#### NOTES FOR SCHEDULING BASIC TELECOM SERVICES COMMITMENTS

- 1. Unless otherwise noted in the sector column, any basic telecom service listed in the sector column:
  - (a) encompasses local, long distance and international services for public and non-public use;
  - (b) may be provided on a facilities-basis or by resale; and
  - (c) may be provided through any means of technology(e.g., cable<sup>1</sup>, wireless, satellites).

<sup>&</sup>lt;sup>1</sup>Including all types of cable.

- 2. Subsector (g) --private leased circuit services -- involves the ability of service suppliers to sell or lease any type of network capacity for the supply of services listed in any other basic telecom service subsector unless otherwise noted in the sector column. This would include capacity via cable, satellite and wireless network.
- 3. In view of points 1 and 2 above, it should not be necessary to list cellular or mobile services as a separate subsector. However, a number of Members have done so, and a number of offers have commitments only in these subsectors. Therefore, in order to avoid extensive changes in schedules, it would seem appropriate for Members to maintain separate entries for these subsectors.

### WORLD TRADE

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### **ORGANIZATION**

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#### **CHAIRMAN'S NOTE**

Market Access Limitations on Spectrum Availability

Many Members have entries in the market access column of their schedules indicating that commitments are "subject to availability of spectrum/frequency" or similar wording. In light of the physical nature of spectrum and the constraints inherent in its use, it is understandable that Members may have sought to rely on these words to adequately protect legitimate spectrum management policies. There is, however, doubt that words such as "subject to availability of spectrum/frequency" as listed in the market access column of many Members' schedules achieve that objective.

Spectrum/frequency management is not, *per se*, a measure which needs to be listed under Article XVI. Furthermore under the GATS each Member has the right to exercise spectrum/frequency management, which may affect the number of service suppliers, provided that this is done in accordance with Article VI and other relevant provisions of the GATS. This includes the ability to allocate frequency bands taking into account existing and future needs. Also, Members which have made additional commitment in line with the Reference Paper on regulatory principles are bound by its paragraph 6.

Therefore, words such as "subject to availability of spectrum/frequency" are unnecessary and should be deleted from Members' schedules.

#### Appendix 2

#### Distribution Services

Distribution trade services are comprised of four main subsectors:

- commission agents services;
- wholesaling;
- retailing; and
- franchising.

The principal services rendered in each subsector can be characterized as reselling merchandise, accompanied by a variety of related subordinated services, including inventory management; assembly, sorting and grading of bulk lots; breaking bulk lots and redistributing into smaller lots; delivery services; refrigeration, storage, warehousing and garage services; sales promotion, marketing and advertising, installation and after sales services including maintenance and repair and training services. Distribution services are generally covered by CPC 61, 62, 63 and 8929.

Commission Agents' Services consist of sales on a fee or contract basis by an agent, broker or auctioneer or other wholesalers of goods/merchandise and related subordinated services.

Wholesaling consist of the sale of goods/merchandise to retailers to industrial, commercial, institutional, or other professional business users, or to other wholesalers and related subordinated services.

Retailing services consist of the sale of goods/merchandise for personal or household consumption either from a fixed location (e.g., store, kiosk, etc.) or away from a fixed location and related subordinated services.

Franchising services consist of the sale of the use of a product, trade name or particular business format system in exchange for fees or royalties. Product and trade name franchising involves the use of a trade name in exchange for fees or royalties and may include an obligation for exclusive sale of trade name products. Business format franchising involves the use of an entire business concept in exchange for fees and royalties, and may include the use of a trade name, business plan, and training materials and related subordinated services.

#### Appendix 3

Insurance: Definition of "Master Policy"

Master policy is the policy that provides blanket coverage for the same legal person's property and liabilities located in different places. Master policy may only be issued by the business department of an insurer's head office or that of its authorized province-level branch offices. Other branches are not allowed to issue master policy.

Master policy business with the state key construction projects as its subject-matter insured. If investors on the state key construction projects (i.e., projects that are so listed and annually announced by the State Development and Planning Commission) meet either of the following requirements, they may purchase master policy from insurers that are located in the same place as the investors' legal persons do.

The investment on the subject-matter insured is all from China (including the reinvestment from the foreign-invested enterprises in China), and the sum of investment of the investor accounts for over 15 per cent of the total investment.

The investment is partially from abroad, and partially from China (including the reinvestment from the foreign-invested enterprises in China), and the sum of investment of the Chinese investor accounts for over 15 per cent for the total domestic investment.

For those projects that draw investment all from abroad, every insurer may provide coverage in the form of master policies.

Master policy covering different subjects-matter insured of the same legal person. For those subjects-matter insured located in different places and owned by the same legal person (excluding financial, railway, and post and telecommunications industries and enterprises), master policy may be issued on the basis of either of the following conditions.

For the sake of payment of premium tax, insurance companies incorporated where the legal person or accounting unit of the insurance applicant is located are allowed to issue master policy.

If over 50 per cent of insurance amount of the subject-matter insured is from a larger or medium sized city, then insurers in that city

are allowed to issue master policy, no matter whether the insurance applicant's legal person or accounting unit is located in the city.

Motor insurance, credit insurance, employer liabilities insurance, statutory insurance, and other insurance business excluded by the CIRC cannot be underwritten or co-insured by insurers located other than where the subject-insured are located, or covered under a master policy.

# China Commitments under Article 7(3) of Chapter 9 (Trade in Services)

#### I. Horizontal Limitations

In terms of ratchet (R) commitments, the following rights are reserved by China:

- (a) China reserves the right to adopt or maintain any measure with respect to the supply of service by presence of natural persons.
- (b) China's R commitments do not apply its Horizontal Commitments.
- (c) China remains unbound for all subsidies to domestic services suppliers except those committed by China in its WTO accession.

#### II. Sectoral Coverage of Ratchet (R) Commitments:

A. Professional Services	
(a) legal services (CPC 861, excluding Chinese law practice)	Ratchet (R)
(c) taxation services (CPC 8630)	Ratchet (R)
(d) architectural services (CPC 8671)	Ratchet (R)
(e) engineering services (CPC 8672)	Ratchet (R)
(g) urban planning services (except general urban planning)	Ratchet (R)
(CPC 8674)	
B. Computer and Related Services	
(Computer and related services do not cover the economic	
activity consisting of the provision of content services which	
require computer and related services as means of supply)	
Consultancy services related to the installation of computer hardware	Ratchet (R)
(CPC 841)	
F. Other Business Services	
(c) Management Consulting services (CPC 865)	Ratchet (R)
(e) Technical testing and analysis services (CPC 8676)	Ratchet (R)

(f) Services incidental to forestry (CPC 8814)	Ratchet (R)
(o) Building-cleaning services (CPC 874)	Ratchet (R)
(q) Packaging services (CPC 876)	Ratchet (R)
(s) Convention services (CPC 87909)	Ratchet (R)
(t) Translation and interpretation services (CPC 87905)	Ratchet (R)
- Maintenance and repair services of office machinery and	Ratchet (R)
equipment including computers (CPC 845 and 886)	
2. COMMUNICATION SERVICES	
B. Courier Services	Ratchet (R)
(CPC 75121, except for those specifically reserved to Chinese postal	
authorities by the related law at the time of China's accession to WTO	
on December 11th 2001)	
D. Audiovisual Services	
- Cinema Theatre Services	Ratchet (R)
4. DISTRIBUTION SERVICES (as defined in Appendix 2)	
D. Franchising	Ratchet (R)
9. TOURISM AND TRAVEL RELATED SERVICES	
A. Hotels (including apartment buildings) and Restaurants	Ratchet (R)
(CPC 641-643)	
11. TRANSPORT SERVICES	
E. Rail Transport Services	Ratchet (R)
F. Road Transport Services	
Passenger Transportation	Ratchet (R)
- Interurban regular transportation (CPC 71213)	
- Freight inspection covered by CPC 749, excluding statutory	Ratchet (R)
inspection services for freight inspection services	

# Annex 8 Part B: New Zealand Schedule of Specific Commitments on Services

#### **ATTACHMENT A**

#### NOTES TO THE SCHEDULE

1. Specific commitments in this schedule are prepared in accordance with the WTO Secretariat paper entitled "Scheduling of Initial Commitments on Trade in Services: Explanatory Note" (MTN.GNS/W/164). The classification of sectors in this schedule is based on the 1991 provisional Central Product Classification (CPC) of the United Nations Statistical Office, while the ordering reflects the classification system used by the WTO Secretariat in MTN.GNS/W/120. In the context of CPC listings in the schedule, the use of \*\* against individual CPC listings indicates that the service specified constitutes only part of the total range of activities covered by the CPC concordance.

#### Overseas Investment

2. An "overseas person" is defined as an individual not normally resident in New Zealand; a company not incorporated in New Zealand; a New Zealand-incorporated company in which 25 per cent or more of any class of shares or 25 per cent or more of the voting power is held by overseas persons; or a nominee of the overseas person, whether or not the nominee is himself/herself an overseas person.

#### **Maritime Transport Services**

- 3. <u>Cabotage</u>: for purposes of this schedule only, cabotage is defined as the transportation of passengers or goods between a port located in New Zealand and another port located in New Zealand and traffic originating and terminating in the same port located in New Zealand.
- 4. <u>Maritime Freight Forwarding Services</u>: the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information.
- 5. <u>Container Station and Depot Services</u>: activities consisting of storing containers, whether in port or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

- 6. <u>Maritime Agency Services</u>: activities consisting of representing as an agent, the business interests of one or more shipping lines, for the following purposes:
  - Marketing and sales of maritime transport and related services, from quotation to invoicing, and issuing bills of lading on behalf of the companies; acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;
  - Acting on behalf of the companies organising the call of a ship or taking over cargoes when required.

#### **ATTACHMENT B**

#### REFERENCE PAPER

#### Scope

The following are definitions and principles on the regulatory framework for the basic telecommunications services<sup>9</sup>.

#### **Definitions**

<u>Users</u> mean service consumers and service suppliers.

<u>Essential facilities</u><sup>10</sup> mean facilities of a public telecommunications transport network or service that

- (a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- (b) cannot feasibly be economically or technically substituted in order to provide a service.

A major supplier is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
- (b) use of its position in the market.
- 1. Competitive safeguards
- 1.1 Prevention of anti-competitive practices in telecommunications

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anticompetitive practices.

#### 1.2 Safeguards

The anti-competitive practices referred to above shall include in particular:

(a) engaging in anti-competitive cross-subsidization;

- 9 This applies only to suppliers of basic telecommunications services and not to end-users of such services.
- 10 This definition of "essential facilities" will be applied only to the extent consistent with New Zealand's competition policy regime, which regulates the activities of "dominant suppliers".
- (b) using information obtained from competitors with anti-competitive results:

and

(c) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

#### 2. <u>Interconnection</u>

2.1 This section applies to linking with suppliers providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier.

#### 2.2 Interconnection to be ensured

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided:

- (a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;
- (b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and

(b) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

## 2.3 <u>Public availability of the procedures for interconnection negotiations</u>

The procedures applicable for interconnection to a major supplier will be made publicly available.

#### 2.4 Transparency of interconnection arrangements

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

#### 2.5 Interconnection: dispute settlement

A service supplier requesting interconnection with a major supplier will have recourse, either:

- (a) at any time or
- (b) after a reasonable period of time which has been made publicly known to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

#### 3. Universal service

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

#### 4. Public availability of licensing criteria

Where a licence is required, the following will be made publicly available:

- (a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence; and
- (b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

#### 5. Independent regulators

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

#### 6. Allocation and use of scarce resources

Any procedures<sup>12</sup> for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

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<sup>&</sup>lt;sup>12</sup> Such procedures may be administered by means of a variety of mechanisms, including laws and regulations, public tendering process and consultative processes.

I. HORIZONTAL COMMITM ALL SECTORS INCLUDED	MENTS		
IN THIS SCHEDULE		3) Overseas Investment Office (OIO) approval is required for the following investments by an "overseas person": 14 15  (a) acquisition or control of 25 per cent or more of the shares or voting power in a company where either the consideration for the transfer or the value of the assets of the company exceeds \$NZ10 million;	
		<ul> <li>(b) the establishment of new business in New Zealand where the total expenditure in setting up the business exceeds \$NZ10 million;</li> <li>(c) the acquisition of the assets of the business where the total consideration paid or payable for the assets exceeds \$NZ10 million;</li> <li>(d) the issue or allotment of shares</li> </ul>	

<sup>&</sup>lt;sup>14</sup> See Attachment A.2

<sup>15</sup> In accordance with Articles 5 of Chapter 9 (Trade in Services), 137(2) and 139 of the Agreement, China is entitled to the monetary screening thresholds of \$100 million for government investors and \$200 million for non-government investors in the circumstances set out under the Overseas Investment Act 2005 and the Overseas Investment (CPTPP) Amendment Regulations 2018.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		has already been exceeded or will be exceeded as a result of the issue and where the total consideration paid or payable exceeds \$NZ10 million.	
		OIO consent is required, regardless of the dollar value of the investment, for acquisition or control of certain classes of land that are regarded as sensitive or require specific approval according to New Zealand's overseas investment regime.	
		Unbound for enterprises currently in State ownership.	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	1), 2), 3), New Zealand remains unbound with respect to:		
	(a) the provision of public law enforcement and correctional services; and		
	(b) the following, to the extent that they are social services established for a public purpose: child care; health; income security and insurance; public education; public housing; public training; public transport; publicutilities; social security and insurance; or social welfare.		

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II. SECTOR SPECIFIC COM	MMITMENTS		
1. BUSINESS SERVICES			
A. <u>Professional Services</u>			

(a) Legal Services, in			
respect of the following			
areas of law:			
(CPC 861)			
Domestic Law			
	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
International law	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
Foreign law	1) None	1) None	
	2) None	2) None	
	3) None	3) None	

(b) Accounting, auditing	1) None	1) None
and book-keeping	2) None	2) None
services (CPC 862)	2) NOTIE	2) Notice
	3) None	3) None
(c) Taxation services		
( ) 1 2 1 2 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2		
	1) None	1) None
	1) None	1) None
Tax preparation, tax	2) None	2) None
planning and consulting	0) Name	O) Negative
services (CPC 86301-	3) None	3) None
86303)		
(d) Architectural services	1) None	1) None
(CPC 8671)	2) None	2) None
(01 0 0011)	2) 110110	2) 110110
	3) None	3) None
(e) Engineering services	1) None	1) None

(CPC 8672)	2) None	2) None
	3) None	3) None
(f) Integrated Engineering Services (CPC 8673)	1) None	1) None
Services (CFC 6073)	2) None	2) None
	3) None	3) None
(g) Consultancy related to Urban Planning &	1) None	1) None
Landscape Architecture	2) None	2) None
(CPC 8674**)	3) None	3) None
(i) Veterinary services	1) None	1) None
(CPC 9320)	2) None	2) None
	3) None	3) None
B. Computer and Related		

<u>Services</u>	1) None	1) None
(a) Consultancy services	2) None	2) None
related to the installation of computer hardware (CPC 841)	3) None	3) None
(b) Software	1) None	1) None
implementation	2) None	2) None
Services (CPC 842)	3) None	3) None
(c) Data processing services	1) None	1) None
	2) None	2) None
(CPC 843)	3) None	3) None
(d) Data base services	1) None	1) None
(CPC 844)	2) None	2) None
	3) None	3) None

(e) Maintenance and repair	1) None	1) None	
of office machinery and equipment including	2) None	2) None	
computers (CPC 845)	3) None	3) None	
(e) Other Computer	1) None	1) None	
Services (CPC 849)	2) None	2) None	
	3) None	3) None	
D. Real Estate Services	1) None	1) None	
	2) None	2) None	
(a) Involving own or	3) None	3) None	
leased Property (CPC 821)			
(b) On a fee or contract	1) None	1) None	
basis (CPC 822)	2) None	2) None	
	3) None	3) None	
E. Rental/Leasing of			
Equipment without			

Crew			
Transport and non	1) None	1) None	
transport (CPC 8310)	2) None	2) None	
	3) None	3) None	
F. Other Business Services			
	1) None	1) None	
(a) Advertising services	2) None	2) None	
(CPC 871)	3) None	3) None	
(c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
	3) None	3) None	

(d) Services related to  Management consulting	1) None	1) None
(CPC 866)	2) None	2) None
	3) None	3) None
(f) Services incidental to	1) None	1) None
agriculture, hunting and	2) None	2) None
forestry	3) None	3) None
(CPC 8811, 8813, 8814)		
(f) Services incidental to	1) None	1) None
animal husbandry	2) None	2) None
(CPC 8812)	3) None	3) Access to the national dairy herd testing database is only given where it is judged to be in the interests of the New Zealand industry.
(k) Placement and supply	1) None	1) None
services of Personnel	2) None	2) None
(CPC 872)	3) None	3) None

	1	1	
(o) Cleaning of buildings and similar activities	1) Unbound <sup>*</sup>	1) Unbound*	
	2) None	2) None	
(CPC 874)	3) None	3) None	
(p) Photographic Services	1) None	1) None	
(CPC 875)	2) None	2) None	
	3) None	3) None	
(s) Convention services	1) None	1) None	
(CPC 87909**)	2) None	2) None	
	3) None	3) None	
(t) Credit reporting	1) None	1) None	The Privacy Act 1993
services	2) None	2) None	establishes New Zealand's regulatory
(CPC 87901)	3) None	3) None	framework for the treatment of personal
			information, in general
			accordance with the
			Recommendation of the
			Council of the OECD
			Concerning Guidelines

<sup>\*</sup> Unbound due to lack of technical feasibility.

			Governing the Protection of Privacy and Transborder Flows of Personal Data.
(t) Collection agency services (CPC 87902)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
(t) Translation services	1) None	1) None	
(CPC 87905**)	2) None	2) None	
	3) None	3) None	
(t) Interior Design	1) None	1) None	
Services	2) None	2) None	
(CPC 87907**)	3) None	3) None	
(t) Telephone Answering	1) None	1) None	
Services (CPC 87903)	2) None	2) None	
	3) None	3) None	
(t) Duplicating Services	1) None	1) None	
(CPC 87904)	2) None	2) None	

	3) None	3) None	
(t) Mailing list compilation	1) None	1) None	
and mailing services	2) None	2) None	
(CPC 87906)	3) None	3) None	
(t) Other Business	1) None	1) None	
Services – services generally provided to	2) None	2) None	
business notelsewhere classified in the CPC	3) None	3) None	
and not including			
convention services. These include:			
business brokerage			
services, appraisal services (other than for			
real estate), secretarial			
services, demonstration			
exhibition services, etc.			
(CPC 87909)			

Modes of supply: 1)Cross-border supply 2

2)Consumption abroad

3)Commercial presence

	Sector or Sub-sector	Limitations on Market Access	Li	mitations on National Treatment	Additional Commitments
<b>2.</b> C.	COMMUNICATION SERVICES  Telecommunication Services  Voice telephone services (CPC 7521)  Packet-switched data transmission services (CPC 7523**)  Circuit-switched data transmission services	1) None 2) None 3) None	1) 2) 3)	None None The shareholding by any single overseas entity in Chorus Limited is limited to 49.9 per cent. At least half of Board directors are required to be New Zealand citizens.	
(d)	(CPC 7523**)				on telecommunication services contained herein.

	Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	(CPC 7522)			
(f)	Facsimile services			
	(CPC 7521**, CPC 7529**)			
(g)	Private leased circuit			
	services (CPC7522**,			
	CPC 7523**)			
(h)	Electronic mail			
	(CPC 7523**)			
(i)	Voice mail			
	(CPC 7523**)			
(j)	On-line information and data-base retrieval			
	(CPC 7523**)			
(k)	Electronic data interchange	1) None	1) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(EDI) (CPC 7523**)	2) None	2) None	
(I) Enhanced/value-added facsimile services (CPC 7523**)	3) None	3) The shareholding by any single overseas entity in Chorus Limited is limited to 49.9 per cent. At least half of Board directors are required to be New Zealand	
(m) Code and protocol conversion		citizens.	
(n) On-line information and/or data processing (CPC 843**)			
(o) Other			
Paging services			
(CPC 75291)			
Teleconferencing services			
(CPC 75292)			
Personal Communications			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Services (CPC 75213*)			
Cellular services (CPC			
75213*)			
Trunked radio system			
services (CPC 7523**,			
CPC 75213*)			
Mobile data services			
(CPC 7523**)			
D. <u>Audiovisual Services</u>			
Production, distribution,	1) None	1),3) The Broadcasting Commission	
exhibition and	2) None	has a statutory obligation to promote Māori language and culture and	
broadcasting of	3) None	allocates a proportion of its funding for this purpose.	
audiovisual works		P. P. S.	
(CPC 9611-9613,		Te Reo Whakapuaki Irirangi (the Māori Broadcasting Funding Agency)	

Modes of supply: 1)Cross-border supply

2)Consumption abroad

3)Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
CPC 96192**,CPC 7524,		allocates government funding for the promotion of Māori language and	
CPC 753)		culture.	
		Government assistance to the film industry through the New Zealand Film Commission is limited to New Zealand films as defined in Section 18 of the New Zealand Film Commission Act 1978.  2) None	
		2) None	
3. CONSTRUCTION AND RELATED ENGINEERING			
SERVICES			
A. General Construction  Work for Buildings	<ol> <li>None for consultancy services</li> <li>None</li> </ol>	<ol> <li>None for consultancy services</li> <li>None</li> </ol>	

Modes of supply: 1)Cross-border supply 2)Cor

2)Consumption abroad

3)Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(CPC 512, CPC 515)	3) None	3) None	
B. General Construction	None for consultancy services	None for consultancy services	
Work for Civil	2) None	2) None	
Engineering	3) None	3) None	
(CPC 513)			
C. Installation and Assembly Work	None for consultancy services	None for consultancy services	
(CPC 514, CPC 516)	2) None	2) None	
	3) None	3) None	
D. Building Completion	None for consultancy services	None for consultancy services	
and Finishing Work (CPC 517)	2) None	2) None	
	3) None	3) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. <u>Other</u>	1) None for consultancy services	1) None for consultancy services	
Site preparation: new construction (other	2) None	2) None	
than pipelines) (CPC 511)	3) None	3) None	
Maintenance and repair	None for consultancy services	None for consultancy services	
of fixed structures	2) None	2) None	
	3) None	3) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Renting Services related to Equipment for Construction or Demolition of Buildings	1) None 2) None	1) None 2) None	
or Civil Engineering, with Operator (CPC 518)	3) None	3) None	
4. DISTRIBUTION SERVICES			
A. Commission Agents'	1) None	1) None	
Services (CPC 6211,	2) None	2) None	
excluding CPC 62111,	3) None	3) None	
CPC 62112, and such			
services relating to CPC 2613-2615)			
B. Wholesale Trade	1) None	1) None	
(CPC 622, excluding CPC 6221, CPC 6222 and such services	2) None	2) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
relating to CPC 2613- 2615)	3) None	3) None	
C. <u>Retail Trade</u>	1) None	1) None	
(CPC 631, CPC 632,	2) None	2) None	
CPC 6111, CPC 6113 and CPC 6121)	3) None	3) None	
5. EDUCATION SERVICES			
Primary, Secondary, and	1) None	1) None	
Tertiary education in	2) None	2) None	
private institutions (CPC 921, CPC 922, CPC 923)	3) None	3) None	
Other Education in respect of the following services only:			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Language training provided			
in private specialist			
language institutions;			
Language assessment			
services provided through			
private Chinese language			
testing centres;			
Tuition in subjects taught			
at the primary and			
secondary levels, provided			
by private specialist			
institutions operating			
outside the New Zealand			
compulsory school			
system <sup>16</sup> .			
(CPC 929**)			
, ,			

<sup>16</sup> Examples of these services might include the provision of extension or remedial tuition in relation to Maths, Science or History.

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6. ENVIRONMENTAL			
SERVICES <sup>17</sup>			
A. Waste water	1) None	1) None	
management:	2) None	2) None	
	3) None	3) None	
(i) consultancy relating to waste water management;			
(ii) for all other aspects of this service: those contracted by private industry only.			
(CPC 9401**)			

<sup>&</sup>lt;sup>17</sup> New Zealand's commitments on environmental services exclude the collection, purification and distribution of water, including water for human use.

2)Consumption abroad

Limitations on Market Access	Limitations on National Treatment	Additional Commitments
1) None	1) None	
2) None	2) None	
3) None	3) None	
1) None 2) None	1) None 2) None	
	1) None 2) None 3) None	1) None 2) None 3) None 3) None 1) None 1) None

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(i) consultancy relating to sanitation and similar services;	3) None	3) None	
(ii) for all other aspects of this service: those contracted by private industry only.			
(CPC 9403**)			
C. Protection of ambient air & climate:	<ol> <li>None</li> <li>None</li> <li>None</li> </ol>	<ul><li>1) None</li><li>2) None</li><li>3) None</li></ul>	
(i) consultancy relating to the protection of ambient air &			

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
climate;			
(ii) for all other aspects of this service: those contracted by private industry only.			
(CPC 9404**)			
D. Remediation & cleanup of soil &	1) None	1) None	
water:	2) None	2) None	
	3) None	3) None	
(i) consultancy relating to the remediation & cleanup of soil & water;			

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(ii) for all other aspects of this service: those contracted by private industry only.			
(CPC 9406**) <sup>18</sup>			
E. Noise & vibration	1) None	1) None	
abatement:	2) None	2) None	
	3) None	3) None	
(i) consultancy relating to noise & vibration abatement;			
(ii) for all other aspects of this service: those contracted by			

<sup>18</sup> New Zealand's commitments under items 6.D and 6.F combine to cover the entirety of CPC 9406 services with respect to consultancy.

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
private industry only.			
(CPC 9405**)			
F. Protection of biodiversity & landscape:	1) None 2) None	1) None 2) None	
issocupe.	3) None	3) None	
(i) consultancy relating to protection of biodiversity & landscape;			
(ii) for all other aspects of this service: those contracted by private industry only.			

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(CPC 9406**) <sup>19</sup>			
G. Other environmental & ancillary services:	1) None 2) None	1) None 2) None	
(i) consultancy relating to other environmental & ancillary services;	3) None	3) None	
(ii) for all other aspects of these service: those contracted by private industry only.			
CPC 9409**)			

<sup>19</sup> New Zealand's commitments under items 6.D and 6.F combine to cover the entirety of CPC 9406 services with respect to consultancy.

;	Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments			
7.	FINANCIAL SERVICES						
1.	New Zealand undertakes its specific commitments on Financial Services in accordance with the provisions of the WTO GATS  "Understanding on Commitments in Financial Services" (the Understanding).						
2.	2. These commitments on financial services are subject to the general limitations contained in the "Horizontal Commitments" section of this Schedule.						
3.	3. Market access and national treatment commitments in Modes (1) and (2) are bound to the extent of the obligations in paragraphs B.3 and B.4 of the Understanding.						
4.	4. The admission to the market of new financial services or products may be subject to the existence of, and consistency with, a regulatory framework aimed at achieving the objectives indicated Article 2(a) of the GATS Financial Services Annex.						
5.	overseas companies to and (if required by an ap cash flows. The Acts als	re subject to the provisions of the Financial prepare financial statements on an annual oplicable financial reporting standard approso require such financial statements in relampanies to deliver annual audited financial	basis comprising a balance sheet, a proved by the Accounting Standards Revition to an overseas company's New Ze	rofit and loss statement ew Board) a statement of aland business. The Acts			

(i.e. those which have raised investment from the public); (b) overseas companies; (c) subsidiaries of companies or bodies corporate incorporated outside New Zealand; (d) companies in which 25 per cent or more of the shares are held or controlled by: (i) a subsidiary of a company of body corporate incorporated outside New Zealand or a subsidiary of that subsidiary; (ii) a company or body corporate outside New Zealand; or (iii) a person not ordinarily resident in New Zealand.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. Insurance and Insurance- related services			
(a) Life insurance services (CPC 8121)	3) None	3) None	
(b) Non-life insurance services (CPC 8129)	The Accident     Compensation Act 2001 provides for compulsory worker's compensation insurance via	3) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	levies on vehicle owners, employers, employees and the self-employed. The Act is administered by the Accident Compensation Corporation (ACC).		
	<ol> <li>The Earthquake Commission is</li> <li>the sole insurer of residential property disaster insurance for replacement cover up to NZ\$100,000 per dwelling and NZ\$20,000 on personal property. These amounts may be increased by regulation.</li> </ol>		
	1),2)Unbound for marketingand 3) sales related to products covered under CPC 01, 02, 211, 213-216, 22, 2399 and 261.		

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(c) Reinsurance and retrocession (CPC 81299)	3) None	3) None	
(d) Insurance intermediation, such as brokerage and agency services (CPC 8140**)	Compensation Act 2001     provides for compulsory worker's compensation insurance via levies on vehicle owners, employers, employees and the self-employed. The Act is administered by the Accident Compensation Corporation (ACC).	3) None	
	1), Under the Wheat Producers Levy     3) Act 1987 (or regulations enacted under the Commodities Levy Act 1990), United Wheat growers (NZ) Ltd) has the power to organise compulsory disaster insurance on behalf of growers and to require them to pay a levy to recover the premium		

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	amount of this insurance.		
	1),2),3) Unbound for loss of products covered under CPC 01, 02, 211, 213-216, 22, 2399 and 261.		
(e) Services Auxiliary to Insurance, such as consultancy, actuarial, risk assessment and claim settlement services (CPC 8140**)	3) None	3) None	
B. <u>Banking and other</u> <u>Financial Services</u> (excluding insurance) <sup>20</sup>			
(a) Acceptance of deposits and other	3) None	3) None	

 $<sup>\</sup>overline{^{20}}$  As defined in paragraph 5 (a) (v)-(xvi) of the WTO GATS Financial Services Annex.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
repayable funds from the public (CPC 81115-81119)			
(b) Lending of all types, including consumer credit, mortgage, credit, factoring and financing of commercial transactions(CPC 8113)  (c) Financial leasing (CPC 8112)  (d) All payment and money transmission services, including credit, charge and debit cards, travellers cheques and bankers drafts (CPC 81339**)			
(e) Guarantees and			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
commitments			
(CPC 81199**)			
(f) Trading for own account or for account of customers, whether on an exchange, in an over-the counter market or otherwise, the following:			
(i) money market instruments (cheques, bills, certificates of deposits etc) (CPC 81339**)			
(ii) foreign exchange (CPC 81333)			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(iii) derivative products including, but not limited to, futures and options (CPC 81339**)			
(iv) exchange rates and interest rate instruments, including products such as swaps, forward rate agreements etc  (CPC 81339**)			
(v) transferable securities (CPC 81321)			
(vi) other negotiable instruments and financial assets, including bullion			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(CPC 81339**)			
(g) Participation in issues of all kinds of securities, including underwriting and placement as agent (whether publicly or privately) and provision of services related to such issues  (CPC 8132)			
(h) Money broking			
(i) Asset management, such as cash or portfolio management; all forms of collective investment			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
management, pension fund management, custodial, depository and trust services (CPC 8119**, 81323*)			
clearing services for financial assets, including securities, derivative products, and other negotiable instruments (CPC 81339**, 81319**)			
<ul> <li>(k) Provision and transfer of financial information, and financial data processing and related software by providers of other financial services (CPC 8131)</li> <li>(l) Advisory, intermediation,</li> </ul>			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
financial services on			
all the activities listed			
in (a) to (k) above,			
including credit			
reference and			
analysis, investment			
and portfolio research			
and advice, advice on			
acquisitions and on			
corporate restructuring			
and strategy			
(CPC 8131, 8133)			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
9. TOURISM AND			
TRAVEL RELATED			
SERVICES			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. <u>Hotels and</u> <u>Restaurants</u>	1) None 2) None	1) None 2) None	
(CPC 641-643)	3) None	3) None	
B./ <u>Travel Agencies, Tour</u>	1) None	1) None	
C. <u>Guides and Tour</u> <u>Operator Services</u>	2) None	2) None	
(7471, 7472)	3) None	3) None	
11. TRANSPORT SERVICES			
A. Maritime Transport Services	General conditions applicable to all maritime service sectors: marketing and sales of maritime transport services for products covered under CPC 01, 02, 211, 213-216, 22, 2399 and 261; unbound.		
International Transport (freight and	1) None	1) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
passengers) (CPC 7211 and CPC 7212 less cabotage - as defined in Attachment A. 3)	None     Unbound for establishment of registered company for the purpose of operating a fleet under the New Zealand flag.	None     Unbound as described in the market access column.	
Maritime Auxiliary  Services			
Storage and warehousing Services (CPC 742)	<ol> <li>Unbound*</li> <li>None</li> <li>None</li> </ol>	<ol> <li>Unbound*</li> <li>None</li> <li>None</li> </ol>	

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Maritime freight forwarding services (as	1) None	1) None	
defined in Attachment	2) None	2) None	
A.4)	3) None	3) None	
Container Station and	1) Unbound*	1) Unbound*	
Depot Services (as	2) None	2) None	
defined	3) None	3) None	
in Attachment A.5)			
Maritime Agency	1) None	1) None	
Services	2) None	2) None	
(as defined in	3) None	3) None	
Attachment A.6)			

<sup>\*</sup> Unbound due to lack of technical feasibility.
\* Unbound due to lack of technical feasibility.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
<u>C. Air Transport</u> <u>Services</u>			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Selling and marketing of air transport	1)2) &3) Unbound for products covered under CPC01, 02, 211,	1) None	
services <sup>21</sup>	213-216, 22, 2399 and 261.	2) None	
		3) None	
Computer reservation	1) None	1) None	
system services <sup>22</sup> (CPC 7523**)	2) None	2) None	
	3) None	3) None	

<sup>&</sup>lt;sup>21</sup> As defined in paragraph 6(b) of the GATS Annex on Air Transport Services. <sup>22</sup> As defined in paragraph 6(c) of the GATS Annex on Air Transport Services.

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Aircraft repair and	1) Unbound <sup>*</sup>	1) Unbound*	
maintenance services <sup>23</sup>	2) None	2) None	
Services <sup>23</sup>	3) None	3) None	
Airport Operation Services <sup>24</sup>	1) None	1) None	
(CPC74610**, excl Navigation aids)	2) None 3) None	2) None 3) None	
Other supporting services for air	1) Unbound <sup>*</sup>	1) Unbound*	
transport (CPC	2) None	2) None	
74690** excl firefighting and fire – prevention services)	3) None	3) None	

<sup>&</sup>lt;sup>23</sup> As defined in paragraph 6 (a) of the GATS Annex on Air TransportServices. 

\* Unbound due to lack of technicalfeasibility.

<sup>\*</sup> Unbound due to lack of technicalfeasibility.

<sup>&</sup>lt;sup>24</sup>As defined in Article 1.

<sup>\*</sup> Unbound due to lack of technicalfeasibility.

<sup>\*</sup> Unbound due to lack of technical feasibility.

Modes of supply: 1)Cross-border supply 2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Specialty Air Services <sup>25</sup>	<ol> <li>Unbound</li> <li>Unbound</li> <li>Unbound, except 100%</li> </ol>	1) None 2) None 3) None	
Ground handling services <sup>26</sup>	permitted for foreign equity  1) Unbound*	1) Unbound*	
Sel Vices	<ul><li>2) None</li><li>3) None</li></ul>	2) None 3) None	
Airfreight Storage and	1) Unbound*	1) Unbound*	
warehousing services	2) None	2) None	
(CPC 742**)	3) None	3) None	

<sup>&</sup>lt;sup>25</sup> As defined in Article1. <sup>26</sup> As defined in Article 1.

<sup>·</sup> Unbound due to lack of technical feasibility.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Airport management services <sup>27</sup>	1) None	1) None	
Scrvices	2) None	2) None	
	3) None	3) None	
E. Rail Transport Service	1) None	1) None	
(CPC 711)	2) None	2) None	
	3) None	3) None	
F. Commercial Road	1) None	1) None	
Transport Services	2) None	2) None	
(passenger, goods, rental and vehicle recovery)	3) None	3) None	
(712 except 71235)			

<sup>&</sup>lt;sup>27</sup> For the purposes of this Agreement airport management services refers to activities relating to the management oversight of the airport including but not limited to determining; landing charges, aircraft parking fees, terminal user charges.

2)Consumption abroad

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
G. Pipeline Transport (CPC 713)	<ol> <li>None</li> <li>None</li> <li>None</li> </ol>	1) None 2) None 3) None	
12. OTHER SERVICES  NOT INCLUDED  ELSEWHERE			
Washing, cleaning and dyeing services (CPC 9701)	<ul><li>1) None</li><li>2) None</li><li>3) None</li></ul>	1) None 2) None 3) None	

## New Zealand Commitments under Article 7(3) of Chapter 9 (Trade in Services)

- 1. This schedule contains the subsectors with commitments on ratchet, for the purposes of Article 7(3) of Chapter 9 (Trade in Services), for modes 1, 2 and 3.
- 2. For greater certainty, New Zealand's ratchet commitments do not apply to measures with respect to the supply of service by presence of natural persons.
- 3. Ratchet does not apply to any of New Zealand's horizontal commitments.

New Zealand sectoral coverage under Article 7(3) of Chapter 9 (Trade in Services):

(f) Services incidental to	Ratchet (R)
animal husbandry	
(CPC 8812)	
2. COMMUNICATION SERVICES	
C. <u>Telecommunication Services</u>	
(a) Voice telephone services	Ratchet (R)
(CPC 7521)	
Packet-switched data transmission services	Ratchet (R)
(CPC 7523**)	
Circuit-switched data transmission services	Ratchet (R)
(CPC 7523**)	
Telex services	Ratchet (R)
(CPC 7523**)	
Telegraph services	Ratchet (R)
(CPC 7522)	
Facsimile services	Ratchet (R)
(CPC 7521**, CPC 7529**)	
Private leased circuit services	Ratchet (R)
(CPC 7522**, CPC 7523**)	
Electronic mail	Ratchet (R)
(CPC 7523**)	
Voice mail	Ratchet (R)
(CPC 7523**)	

On-line information and data-base retrieval	Ratchet (R)	
(CPC 7523**)		
Electronic data interchange (EDI)	Ratchet (R)	
(CPC 7523**)		
Enhanced/value-added facsimile services	Ratchet (R)	
(CPC 7523**)		
Code and protocol conversion	Ratchet (R)	
On-line information and/or data processing (CPC 843**)	Ratchet (R)	
Other Paging services	Ratchet (R)	
(CPC 75291)		
Teleconferencing services	Ratchet (R)	
(CPC 75292)		
,		
Personal Communications Services	Ratchet (R)	
(CPC 75213*)		
Cellular services	Ratchet (R)	
(CPC 75213*)		
Trunked radio system services	Ratchet (R)	
(CPC 7523**, CPC 75213*)		
Mobile data services	Ratchet (R)	
(CPC 7523**)		